PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 4/30/2011

1.0	**PHA Information** PHA Name: City of Scottsdale Housing Agency PHA Code: AZ032 PHA Type: ☐ Small ☒ High Performing ☐ Standard ☒ HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): 07/2013
2.0	**Inventory** (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: 0 Number of HCV units: 735
3.0	**Submission Type** ☐ 5-Year and Annual Plan ☒ Annual Plan Only ☐ 5-Year Plan Only
4.0	**PHA Consortia** ☐ PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program	
				PH	HCV
PHA 1:					
PHA 2:					
PHA 3:					

5.0	**5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.**
5.1	**Mission.** State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: **NA Annual Plan only**
5.2	**Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan. **NA Annual Plan only**

PHA Plan Update

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

Scottsdale Housing Agency (SHA) has adjusted its Housing Choice Voucher Payment Standard as of October 2012. Scottsdale Housing has adjusted its one-bedroom units to $748 and its two-bedroom units to $925. These rates were determined after researching Scottsdale rental housing availability and cost.

SHA has updated its utility allowance as of December 2012. The utility allowance is based on current rates and usage in the Scottsdale area.

SHA has amended its Administrative Plan to include the following:
Chapter 6-111.D Applying Utility Allowances under PHA Policy add verbiage, "Participants may change utility vendor for their utility reimbursement checks once a year with a written request."

Chapter 8.II.G Enforcing Owner Compliance under PHA Policy add verbiage, "Abatement will start as of the date of the second failed inspection. All recoup monies, for the number of days the unit was in abatement, will be processed at the next available check run."

Chapter 9.I.B Requesting Tenancy Approval under PHA Policy add verbiage, "All properties indicated in the moving packet must be registered with the Maricopa County Tax Assessor as a rental unit."

Chapter 10.I.B Grounds for Denial or Termination of Assistance under PHA Policy add verbiage, "However, if a Request to Terminate has been submitted to the Housing Coordinator, the PHA will deny a family to move until the final determination of the termination has been made."

Chapter 16.II.B Updating Payment Standards under PHA policy revise to read, "Changes to payment standard amounts will be effective on January 1st of each year."

6.0 (b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.

A public notice of the Plan was posted in the Arizona Republic on December 7, 2012 to obtain public comment. The PHA consulted with and received approval of the PHA Annual Plan (Plan) for FY 2013/2014 from the Resident Advisory Board (RAB) on December 20, 2012, from the Human Services Commission on January 23, 2013 and from Scottsdale City Council on March 5, 2013. The Plan is currently available on the City's website www.scottsdaleaz.gov/assistance/housing, and at City of Scottsdale Housing Agency, 7515 E First Street, Scottsdale AZ 85251;

The Resident Advisory Board (RAB) members, Human Services Commissioners and City Council members received copies of the draft Plan.

PHA Plan elements:

1. Eligibility, Selection and Admission Policies
   - Eligibility - See Chapter 3 Section 2 of Scottsdale Housing Administrative Plan.
   - Selection and Admissions Policies and Waitlist Procedures - See Chapter 4 of Scottsdale Housing Administrative Plan.
   - De-concentration Procedures - Twice yearly Scottsdale Housing Agency markets to landlords, management companies and apartment complexes in low-poverty census tracks. Scottsdale Housing provides all clients with a map showing both low and high-poverty concentration and information on fair housing.

6.0

2. Financial Resources
   Scottsdale Housing received approximately $5.6 million (budget authority and net restricted assets) in Section 8 Housing Assistance Payments (HAP) and $680,000 in Section 8 Administrative fee including Family Self Sufficiency administrative funding. The City of Scottsdale provides General Funds contribution for additional administrative support.

3. Rent Determination
   - See Chapter 6 Parts 1, 2, and 3; Chapter 7 Parts 1, 2, and 3; Chapter 9 Part 1, and Chapter 13 Parts 1 and 2 of Scottsdale Administrative Plan.

4. Operation and Management – N/A Scottsdale is a Section 8 only PHA; however, see Chapter 8 for HQS Tenant and Landlord responsibilities.

5. Grievance Procedures –
   - See Chapter 16 Part 3 of Scottsdale Administrative Plan.

6. Designated Housing for Elderly and Disabled Families – N/A

7. Community Service and Self Sufficiency – "note applies to only public housing" however, SHA offers the Family Self Sufficiency Program to all Section 8 participants. A copy of the Family Self Sufficiency Action Plan is contained in Scottsdale Housing Administrative Plan. SHA actively performs community service through collaborations with neighborhood centers and senior centers.

8. Safety and Crime Prevention – N/A

9. Pets – N/A

10. Civil Rights Certification - Scottsdale's Analysis of Impediments to Fair Housing 2011 – 2016 is on the City Website at http://www.scottsdaleaz.gov/AssetFactory.aspx?did=40034. The City of Scottsdale Community Assistance Office was the lead agency for development of the HUD Five Year Housing and Human Services Consolidated Plan for 2010 - 2014. Scottsdale Housing has signed a Certificate of Compliance with the Consolidated Plan. The City certifies and submits SF 424 and certifications for the Community Development Block Grant (CDBG) Program through its Annual Action Plan. See Chapter 2 of Scottsdale Administrative Plan.

11. Fiscal Year Audit – A copy of the City's FY 11/12 single audit is available at Scottsdale Housing Agency, and the comprehensive Financial Report (CAFR) is available on line at http://www.scottsdaleaz.gov/finance/cafr

12. Asset Management – N/A Section 8 only

13. Violence Against Women Act (VAWA) – See attached Violence Against Women Act Plan and Chapter 16 Part 9 of Scottsdale Administrative Plan. The City of Scottsdale provides CDBG and local funds for the operation of domestic violence shelters and transitional housing programs. A copy of the attached Violence Against Women Act brochure and a local resource list is provided at all Section 8 briefings and is displayed at the Scottsdale Housing Agency.

7.0

Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. *Include statements related to these programs as applicable.*

Scottsdale's Home Ownership Assistance Program (HOAP) continues to look for financial institutions that will accept dual payments, one payment from the homeowner and one payment from Scottsdale Housing. Scottsdale will offer the Section 8 Home Ownership Assistance Program as long as a financial institution will accept the dual payments.

The City funds a local nonprofit to administer down payment assistance through American Dream Down Payment Initiative (ADDI). The City provides CDBG and Home funds to a local Community Housing Development Organization (CHDO) to administer first time homebuyers' opportunity through a community land trust.

Scottsdale's FSS Program collaborates with the FSS Programs of Mesa, Tempe, and Chandler to present monthly home ownership workshops at the Family Self Sufficiency Alliance Homebuyers' Club.

8.0	**Capital Improvements.** Please complete Parts 8.1 through 8.3, as applicable. **NA**
8.1	**Capital Fund Program Annual Statement/Performance and Evaluation Report.** As part of the PHA 5-Year and Annual Plan, annually complete and submit **t**he *Capital Fund Program Annual Statement/Performance and Evaluation Report,* form HUD-50075.1, for each current and open CFP grant and CFFP financing. **NA**
8.2	**Capital Fund Program Five-Year Action Plan.** As part of the submission of the Annual Plan, PHAs must complete and submit the *Capital Fund Program Five-Year Action Plan,* form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. **NA**
8.3	**Capital Fund Financing Program (CFFP).** ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. **NA**
9.0	**Housing Needs**. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. **NA Five Year Plan only**

9.1	**Strategy for Addressing Housing Needs**. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan NA Five Year Plan only.**
10.0	**Additional Information**. Describe the following, as well as any additional information HUD has requested. (a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan. **NA 5 year plan only.)** (b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification" The Scottsdale Housing Agency's definition of Significant Amendment is defined as changes to: Admission policies, organization or administration of the wait list, activities not included in the current plan or additional activities, or administration of the Home Ownership Assistance Program. Any significant amendments or substantial deviation/modification by Scottsdale Housing Agency must be approved by the Resident Advisory Board and Scottsdale City Council with the exception of federal regulatory mandates in accordance with HUD rules and regulations

11.0	**Required Submission for HUD Field Office Review**. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. **Note:** Faxed copies of these documents will not be accepted by the Field Office. (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations* (which includes all certifications relating to Civil Rights) (b) Form HUD-50070, *Certification for a Drug-Free Workplace* (PHAs receiving CFP grants only) (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions* (PHAs receiving CFP grants only) (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only) (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only) (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations. (g) Challenged Elements (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report* (PHAs receiving CFP grants only) (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan* (PHAs receiving CFP grants only)